

Update Information
2010 Update Number 3

<i>DCM</i>	<i>Title</i>	<i>Action/Change</i>
Z1	Name and Series Authority Records	663/664 section - Complex see also and see references - Changed section to remove information pertaining to 664. Renamed section 663 - Complex see also note. Revised to include procedures for using 663 note technique for persons that use multiple pseudonyms.
Z1	Name and Series Authority Records	667 section - Nonpublic general note - Revised to include guidelines for notes to document non-creation of NARs for persons with inordinate number of pseudonyms.

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663 Complex See Also NoteGeneral

The 663 field contains the explanatory text and authorized access points for relationships between a 100 (established name) and other 100s (established names) that cannot be adequately conveyed by one or more simple see-also references generated from 500 *See Also From Tracing Fields*.

Generally, this situation occurs when a person uses more than one identity, when more than one person shares an identity with one or more others, or when multiple persons use the same pseudonym independent of each other or as a result of some other arrangement.

Only two identities

When only two headings are created for a person, do not use the 663 note technique; connect the names with simple 500 *see also references*.

More than two identities - "basic" headings and references

When more than two authorized access points are created for the same person, LC will identify a "basic" authorized access point using the 663 field technique. This decision is based on the desire to simplify the reference structure and to assist LC catalogers in determining which name to use as the subject of biographical or critical works. The "basic" authorized access point should be determined by the predominantly used form of name or, lacking sufficient evidence, by choosing the real name of the person as the "basic" form.

On the authority record for the "basic" name authority record add 500 see also references with a subfield w coded "nnnc" from all other names used. Justify the 500 in 670 citations according to normal practice. Provide a 663 field listing all the other names with the following text:

```
663 $a For works of this author entered under other names, search
also under: $b [name] $b [name]
```

On each of the other name authority records, trace a 500 see also reference with subfield \$w coded "nnnc" from the "basic" name, justifying that reference in a 670 citation, according to normal practice. Other names may be mentioned in the 670 if it is convenient to do so. Provide a 663 field with the following or similar text:

```
663 $a Works by this author are entered under the name used in the
item. For a listing of the names used by this author, search also
under: $b [basic heading]
```

When the author uses another new name, create a new name authority record for that name and also add it to the reference structure and 663 listing on the authority record for the

“basic” name; do not add the same information to the authority records for the other names.

Example:

“Basic” name authority record

100 1# \$a Harris, John, \$d 1916-
 500 1# \$w nnc \$a Hennessy, Max, \$d 1916-
 500 1# \$w nnc \$a Hebden, Mark, \$d 1916-
 663 ## \$a For works of this author entered under other
 names, search also under \$b Hebden, Mark, 1916- \$b
 Hennessy, Max, 1916-
 670 ## \$a Corporal Cotton's little war, 1979: \$b t.p.(John
 Harris)
 670 ## \$a Contemp. au., v. 93-96 \$b (Harris, John, 1916-;
 pseuds.: Mark Hebden, Max Hennessy)

Name authority record for 500 on “basic” NAR

100 1# \$a Hebden, Mark, \$d 1916-
 500 1# \$w nnc \$a Harris, John, \$d 1916-
 663 ## \$a Works by this author are entered under
 the name used in the item. For a listing of other
 names used by this author, search also under \$b
 Harris, John, 1916-
 670 ## \$a Pel under pressure, 1983: \$b t.p.(Mark Hebden)
 670 ## \$a Contemp. au., v. 93-96 \$b (Harris, John, 1916-;
 pseuds.: Mark Hebden, Max Hennessy)

Name authority record for 500 on “basic” NAR

100 1# \$a Hennessy, Max, \$d 1916-
 500 1# \$w nnc \$a Harris, John, \$d 1916-
 663 ## \$a Works by this author are entered under the name
 used in the item. For a listing of other names used
 by this author, search also under \$b Harris, John,
 1916-
 670 ## \$a Back to battle, 1980: \$b t.p.(Max Hennessy)
 670 ## \$a Contemp. au., v. 93-96 \$b (Harris, John, 1916-;
 pseuds.: Mark Hebden, Max Hennessy)

Example:

Shared identity name authority record

100 1# \$a Corder, Zizou
 500 1# \$w nnc \$a Young, Louisa
 500 1# \$w nnc \$a Young, Isabel Adomakoh
 663 ## \$a Joint pseudonym of Louisa Young and Isabel
 Adomakoh Young. For works of these authors written
 under their own names, search also under: \$b Young,
 Louisa \$b Young, Isabel Adomakoh
 670 ## \$a The chase, 2004: \$b ECIP data view (Zizou Corder
 is the joint pseudonym of Louisa Young and Isabel
 Adomakoh Young)

Name authority record for 500 on shared identity NAR:

100 1# \$a Young, Louisa
 500 1# \$w nnc \$a Corder, Zizou
 663 ## \$a For works of this author written in collaboration
 with Isabel Adomakoh Young, search also under: \$b
 Corder, Zizou
 670 ## London's churches, 1986: \$b t.p. (Louisa Young)
 670 ## \$a The chase, 2004: \$b ECIP data view (Zizou Corder
 is the joint pseudonym of Louisa Young and Isabel
 Adomakoh Young)

Name authority record for 500 on shared identity NAR

100 1# \$a Young, Isabel Adomakoh
 500 1# \$w nnc \$a Corder, Zizou
 663 ## \$a For works of this author written in collaboration
 with Isabel Adomakoh Young, search also under: \$b
 Corder, Zizou
 670 ## \$a The chase, 2004: \$b ECIP data view (Zizou Corder
 is the joint pseudonym of Louisa Young and Isabel
 Adomakoh Young)

The text of the 663 may be adjusted to fit extraordinary situations; however, keep the text as simple as possible, allowing the MARC fields and coding to convey the information desired.

Exceptions

Do not use the 663 note technique to show relationships between corporate bodies. In situations when a corporate name is considered to be an alternate identity for a person or persons and that corporate name is listed as a 510 on the NAR containing a 663 note, do not use a subfield \$w coded “nnc” for the 510. On the corporate name authority record (110) do not use a 663 note; connect the personal name (500) with a simple see also (i.e., do not use subfield \$w coded “nnc”).

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667 Nonpublic General Note

LC series practice: As of June 1, 2006, LC does not create or update SARs

PCC series practice: Transcription of the series statement is mandatory if applicable. Searching for series authority records, tracing the series, and the creation and maintenance of series authority records are optional.

General

Give information of permanent value and general interest that would be useful also to institutions outside of LC and to LC staff not working in the LC Database. A listing (not exhaustive) of types of notes is given below; with the exception of notes about old catalog headings, series-like phrase core records, and technical reports as well as the first part of the MESSAGE notes, the wording is recommended but not prescriptive. The separate 667 fields may be given in any order.

```
667 $a Not same as: [name or title, LCCN]
667 $a Cannot identify with: [name or title, LCCN]
667 $a Reinvestigate before using again
667 $a Formerly on undifferentiated name record: [LCCN of
undifferentiated name record]
667 $a Coded provisional because [reason for coding]
667 $a Change heading if name _____ continues to be
used
667 $a For works issued before/after [date]
      (to be used for official language changes)
```

NARs for persons with identities not established

Generally, for contemporary authors with multiple identities, name authority records are created for each name, including in some cases, the possible unused real name; however, in the interest of efficiency, if research indicates that a person has numerous pseudonyms which are not likely to appear on separately published works, LC catalogers may choose not to create separate headings for each pseudonym. In this case, list in the 670 field all the known pseudonyms; list the unestablished pseudonyms in a 667 field following the phrase: "Pseudonyms not found on published works: [list names not established]"

Note: Do not make references from the unestablished pseudonyms.

Example:

```
667 $a Pseudonyms not found on published works: Miz
Scarlett, SCC, Stevenopolis.
```

NARs and subject usage

(1) Notes indicating subject cataloging usage when an authority record heading is not appropriate for use as a subject entry

(a) Heads of state, etc.

Add a 667 note to name authority records indicating subject cataloging usage for corporate headings representing the office held for Heads of state, etc., (AACR2 rule 24.20B1), Heads of governmental and of international intergovernmental bodies (AACR2 rule 24.20C), Religious officials (AACR2 rule 24.27B1), and Popes (AACR2 rule 24.27B2) when the name of the incumbent is included as part of the heading. Also assign value “n” in 008/11 and value “b” in 008/15.

667 \$a SUBJECT USAGE: This heading is not valid for use as a subject. Works about this person are entered under [personal name heading].

Example:

110 1# \$a United States. \$b President (1953-1961 :
Eisenhower)
008/11 = n
008/15 = b
667 \$a SUBJECT USAGE: This heading is not valid for use as a subject. Works about this person are entered under Eisenhower, Dwight D. (Dwight David), 1890-1969.

See also DCM Z1 008/11 and DCM Z1 008/15.

Follow these guidelines for newly-created authority records. Revise existing records when making any other change to the records.

(b) Earlier/later linear jurisdictional name changes

It is LC subject cataloging policy to assign only the latest name of a political jurisdiction that has had one or more earlier names as a subject heading or as a geographic subdivision, as long as the territorial identity remains essentially unchanged (cf. SCM H 708). Upon creating an earlier/later heading for a geographic name, catalogers must notify PSD to add a 667 subject usage note to the earlier name and adjust the appropriate 008 fields.

Example:

151 \$a Ceylon
667 \$a SUBJECT USAGE: This heading is not valid for use as a subject. Works about this place are entered

under Sri Lanka.

A web form to facilitate PCC catalogers' notifications to PSD is available at: <http://www.loc.gov/catdir/pcc/naco/nar667form.html> LC catalogers should continue to follow the guidelines provided in SCM H 708.

(2) Notes indicating that a geographic name heading is not appropriate for use as a geographic subdivision

PCC optional practice:

Add a 667 note to name authority records for geographic headings that are not appropriate for use as geographic subdivisions in subject cataloging usage (Per SCM H 835) when the name represents an entity within a city and is qualified by the city name. Such notes will most frequently be needed for names of city sections, districts, neighborhoods, etc. Make no changes to values in bytes of the 008.

667 \$a SUBJECT USAGE: This heading is not valid for use as a geographic subdivision.

Example:

151 \$a Hollywood (Los Angeles, Calif.)
667 \$a SUBJECT USAGE: This heading is not valid for use as a geographic subdivision.

Follow these guidelines for newly-created authority records. Add the note to an existing record when making any other change to the record.

See DCM Z1 781 for guidelines on adding 781 fields to name authority records for geographic headings that may also be used as geographic subdivisions.

MESSAGE notes (LC practice)

Use notes in the 667 field to indicate that an authority record is not yet finished or that it needs further investigation. Use the notes only when the work cannot be completed promptly.

If a new authority record cannot be completed immediately, adjust the code in LDR/17 from "n" to "o" and add a 667 note: "MESSAGE: Early notice." When the record has been completed, change the code back to "n" and delete the 667 MESSAGE note.

If an existing authority record needs investigation that cannot be completed immediately, adjust the code in 008/31 from "a" to "b" and add a 667 note: "MESSAGE: Being updated. [code and date]" (Additional information explaining the problem may be added, if considered

useful.) When the record has been revised as necessary, change the value back to “a” and delete the 667 MESSAGE note.

```
667 $a MESSAGE Being updated. [code and date]
```

SARs

(1) Notes on the title proper:

There may be a clear pattern of fluctuation between two or more forms of title proper of a numbered series (based on information in the database or from items in hand). There may be a change in the form of the title proper that isn't considered a title change (AACR 2 21.2A/LCRI 21.2A). Use a 667 field in lieu of a 670 field to indicate the different title proper that is not generating its own SAR. Give that form of the title proper in a 4XX field.

```
667 $a Some issues have title: [title proper]
```

```
667 $a Vol. 8 has title: [title proper]
```

[for fluctuating titles]

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667 $a Vols. 9- have title: [title proper]
```

[for change not generating separate record]

If the subtitle could be interpreted as the title proper of the series or as a subseries, note the subtitle in a 667 field and give it as a 4XX reference.

```
667 $a Subtitle: [title of subtitle]
```

Do not use the 667 field to indicate variant titles of the series title proper found on the same item (e.g., form of series title on cover is different from form of series on series title page); note the variant titles in 670 field(s) and give them as 4XX references.

(2) Notes on the relationship to other series/records:

If a series authority record represents a publication that is a successive entry that has occurred after a series was last handled under pre-AACR 2 rules but for which no SAR yet exists, give the information only in a 667 field rather than in 675/5XX fields. Label it, in square brackets, as an unevaluated catalog entry form. When the pre-AACR 2 catalog entry form is being evaluated in terms of AACR 2 choice and form of entry, delete this 667 and replace it with appropriate 675/5XX fields on both SARs.

```
667 $a Continues: [pre-AACR 2 catalog entry form]
           [unevaluated catalog entry form]
```

```
667 $a Continued by: [pre-AACR 2 catalog entry form]
           [unevaluated catalog entry form]
```

If the earlier publication was not analyzable, give the information only in a 667 field rather than in 675/5XX fields.

667 \$a Continues the not-analyzable serial: Directory of computer assisted research in musicology.

When the optional linking reference cannot be given between the AACR 2 form and the pre-AACR 2 form due to NACO normalization, if desired give the pre-AACR 2 information in a 667 field. Use one of the notes given below. (See "NACO normalization" section in Introduction yellow pages.)

667 \$a Old catalog heading: _____
[Use if the reference would normalize to the same form as the heading or another reference on the same record or to the same form as a heading on another record.]

667 \$a Includes old catalog headings: Great Britain. Foreign Office. Treaty series; and, Great Britain. Foreign and Commonwealth Office. Treaty series"
[Use if two or more pre-AACR 2 headings will be treated as one heading under AACR 2.]

667 \$a Previous to AACR 2 covered by the heading:

[Use if one pre-AACR 2 heading will be split under AACR 2.]

(3) Notes on the publisher/issuing body:

When there are more than two changes of publisher or when there have been more than two different consecutive bodies both issuing and publishing the publication, use only one 643 field and give an explanation in a 667 field. The explanation should be as specific as is appropriate for the situation.

667 \$a Imprint varies
 667 \$a Publisher varies
 667 \$a Published by various offices of the Division of Education
 667 \$a Issued by various agencies of the West German government, e.g., Presse- und Informationsamt, Bundesministerium der Justiz, Bundesministerium für Forschung und Technologie

(4) Notes on the type of publication:

If the series is a document series, give a 667 note.

667 \$a Document

If the publication has changed its identity (e.g., from a monographic series to a periodical), give a 667 note that indicates what happened which will explain why a full set of analytic records is not available.

- 667 \$a Telephone call to publisher, 11-2-88: Change in pattern of publication; v. 1-17 monographic works, v. 18- collections of articles
 667 \$a Publication was periodical for v. 1-3; monographic series beginning with v. 4

(5) Notes on the handling of series-like phrase:

- 667 \$a Do not give as a quoted note
 667 \$a Give as a quoted note
 667 \$a Give as a quoted note if [name of publisher] is not recorded in the publication, distribution, etc. area of the bibliographic record
 [for phrase that combines name of publisher and a generic term]
 667 \$a Is an imprint, not a series. Record in publication, distribution, etc. area of the bibliographic record
 [for imprint that could be construed to be title, e.g., Metropolitan Books]
 667 \$a Give as a quoted note, including the number, e.g., AAI no. 85-41
 667 \$a Give as other title information in the title and statement of responsibility area of the bibliographic record

(6) Notes on an undifferentiated phrase record

When converting a series-like phrase for a single phrase to an undifferentiated phrase record to cover the same phrase used by more than one publisher, give a 667 note using the wording given below. (See the Introduction yellow pages for more information about these records.)

- 667 \$a Undifferentiated phrase record: Covers all instances when this character string used by any publisher is considered to be a series-like phrase; if character string is to be a series, separate SAR has been made.

Give a second 667 note: "Give as a quoted note." If a different handling is desired for one instance of the phrase, either (a) make a separate phrase SAR and add a qualifier to its heading, or (b) add another 667 note in the undifferentiated phrase SAR: "For resources published by [_____], give the phrase as [_____]."

(7) Notes on the post-cataloging authority records

When creating a post-cataloging SAR, that is, one established without the piece in hand, give a 667 note with the wording below.

667 \$a Series authority record created without piece in hand.

When a preliminary record with this note is upgraded to full level, delete the note.

(8) Miscellaneous notes:

667 \$a Previous to AACR 2 subsumed under made-up set for UN documents

[See 64X yellow pages for more information about UN documents]

667 \$a First printing of vol. 8 of the Princeton theological monograph series erroneously carried the series statement: Pittsburgh theological monographs

667 \$a Phone call to Borgo Press 1-20-84: Starmont Press series; Borgo reprints all titles generally 6 months to a year later in cloth

667 \$a Lacked analyzable titles until v. 9

667 \$a Indexed in: Social sciences index
[for series not being analyzed]

667 \$a Establish separate records for English and Spanish titles if Spanish edition of v. 3 is received